

**UiT**

**THE ARCTIC  
UNIVERSITY  
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# **Towards privacy preserving comparative effectiveness research**

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# Overview

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- Motivation
- Comparative effectiveness research
- Barriers
- Identifiable data
- Deidentified data
- Secure multi-party computation
- Discussion

# Motivation

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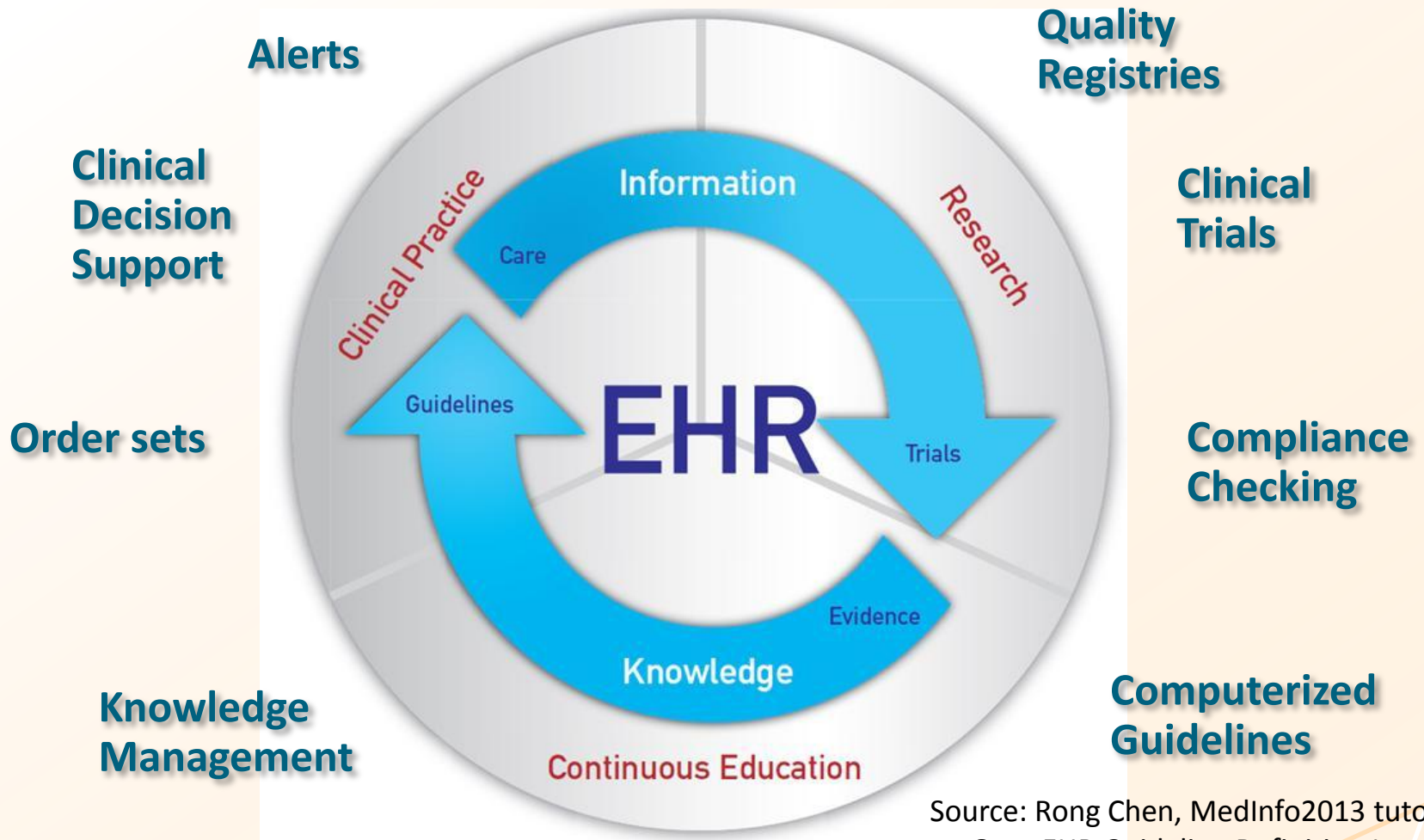
- Demography change (i.e. aging population, multiple chronic conditions)
- Infectious diseases
- Health care system is under serious challenges

# Motivation (2)

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- An increased use of electronic health records (EHRs)
- Detail and diversity of healthcare and biomedical data is collected
- Health care systems' effectiveness and efficiencies
- Patient outcomes and safety

# Knowledge generation and use in medicine



Source: Rong Chen, MedInfo2013 tutorial on OpenEHR Guideline Definition Language

# Comparative Effectiveness Research

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- Generate evidence on the **effectiveness, benefits, and harms** of different treatment options **in real life**
- Study designs: systematic reviews of existing studies, RCTs, and observational data analyses
- Observational studies use existing data sources

# Comparative Effectiveness Research (2)

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- Lab test result, treatment and outcome, outpatient visits, hospitalization, primary care visits, pharmacy, and/or other information
- Patients receive care from multiple institutions
- Strong statistical power
- Population heterogeneity
- Horizontally and vertically partitioned dataset
- Link data distributed across multiple institutions

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# What is the problem?





# Objective

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Enjoy the benefits of both the privacy and research worlds!

# Identifiable Data

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- Use of identifiable data requires individuals' consent
- Except under limited circumstances
- Difficult to obtain consent from some patients, such as severely ill, demented and pediatric patients
- Often, it is not practical to collect consent (i.e. large study size)

# Identifiable Data (2)

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- Consenter Vs. non-consenter difference
  - Demographic and
  - Socio-economic characteristics
- Biased samples

# De-identified Data

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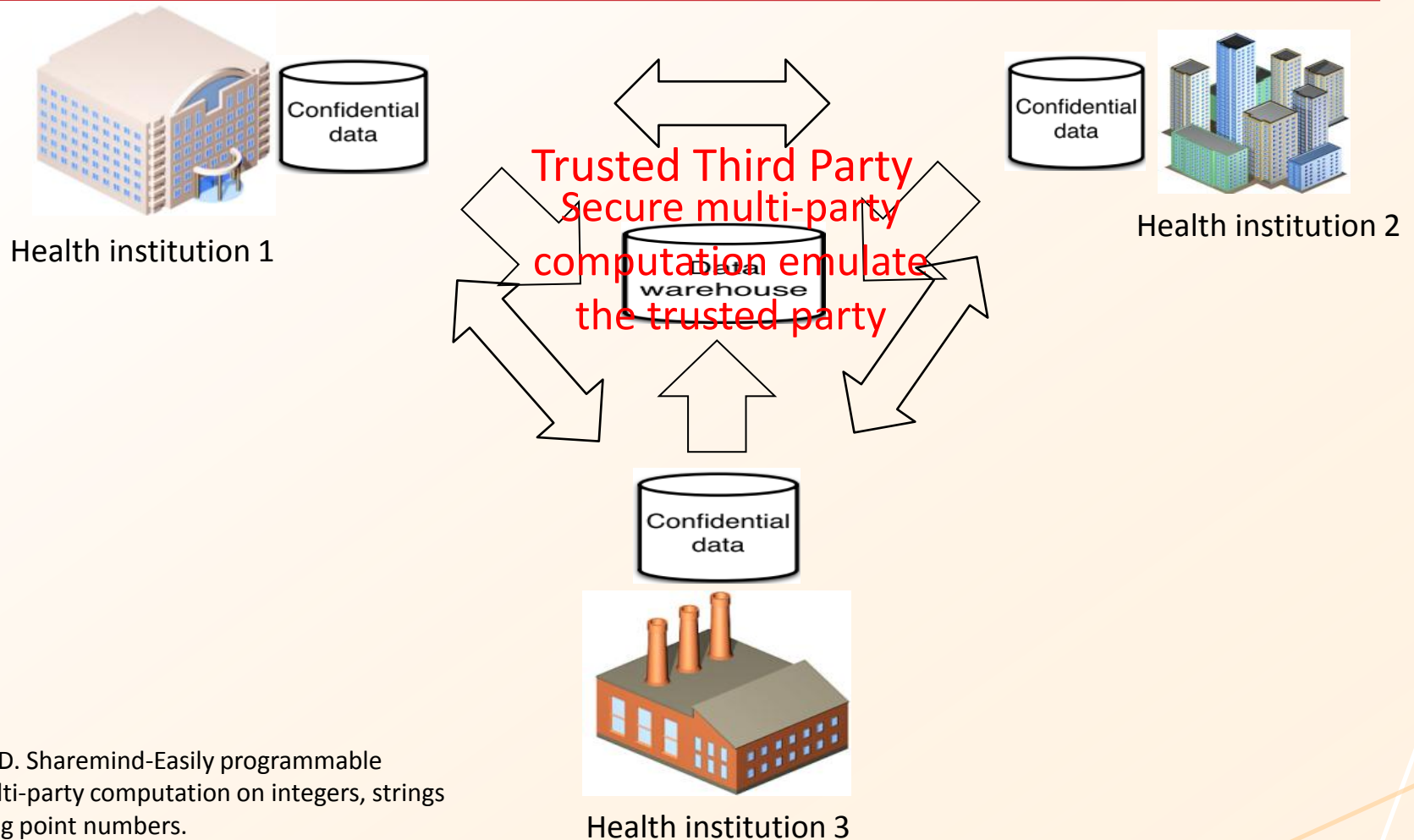
- De-identified data can be used for research
- Health data can be deidentified:
  - Removing identifiers (e.g. Safe harbor and limited dataset)
  - Statistical methods
- The HIPAA safe harbor method involves removal of 18 identifiers including biometric or genetic data
- Limited dataset removes 16 identifiers (except date and zip code) and obtain data use agreement

# De-identified Data (2)

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- $\uparrow$  De-identification  $\approx$   $\downarrow$  data usefulness  $\approx$   $\downarrow$  re-identification
- Causal relationship between events
- Link data from multiple source to individual record
- Sub-populations level study

# Secure multi-party computation (SMC)



Bogdanov D. Sharemind-Easily programmable secure multi-party computation on integers, strings and floating point numbers.

# Secure multi-party computation (2)

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- A set of two or more parties with **private** inputs,  $x_1, \dots, x_n$  wish to jointly compute a **function**,  $f(x_1, \dots, x_n)$ , of their inputs
- Parties wish to preserve some security properties. E.g. **privacy** and **correctness**.
- Even in the face of **adversarial behavior** by some of the participants, or by an external party.



# History

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- Introduced by Yao in 1982 (two-party computation)
- Goldreich et al. in 1987 (Multi-party computation)
- No practical implementation until the last decade

# SMC techniques

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- Generic techniques (i.e. Garbled circuit, Homomorphic encryption, Secret sharing)
- Specialized techniques (i.e. secure sum, scalar product)

# SMC protocols

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- All to all communication
- Representative based approach
- Considered not efficient and not scalable to hundreds and thousands of distributed data sources

# Distributed SMC

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- Decompose the computation problem in a way that can be computed by neighbor peers in parallel
- A peer only jointly compute with neighbors
- **ONLY combined statistics** of neighbor peers' private data will be learned
- Reasonable to hide private data in combined statistics of neighbor peers

# Distributed SMC (2)

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- Constant communication complexity
- Enable parallel computations
- Execute asynchronous algorithms
  
- Hypothesis:  
“Distributed SMC enables more efficient and scalable solutions.”

# Discussion

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- Data sources maintain autonomy over their record
- No new information can be discovered after a computation
- Preserve patients' and data owners' privacy
- Increased data owners motivation to participate

# Reference

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- “Towards Privacy-Preserving Computing on Distributed Electronic Health Record Data” Middleware 2013 (submitted)

# Acknowledgement

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# Thank you!

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